
5G CYBERSECURITY

Preparing a Secure Evolution to 5G

Mike Bartock
Jeff Cichonski
Murugiah Souppaya

National Institute of Standards and Technology

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5G-security@nist.gov



1 The National Cybersecurity Center of Excellence (NCCoE), a part of the National Institute of
2 Standards and Technology (NIST), is a collaborative hub where industry organizations,
3 government agencies, and academic institutions work together to address businesses' most
4 pressing cybersecurity challenges. Through this collaboration, the NCCoE develops modular,
5 easily adaptable example cybersecurity solutions demonstrating how to apply standards and
6 best practices by using commercially available technology. To learn more about the NCCoE, visit
7 <http://www.nccoe.nist.gov>. To learn more about NIST, visit <http://www.nist.gov>.

8 This document describes several security considerations as industry is preparing for a migration
9 to 5G technology. NCCoE cybersecurity team will develop approaches and proposed solutions in
10 collaboration with a Community of Interest, equipment vendors, and telecommunication
11 providers.

12 **ABSTRACT**

13 Cellular networks will be transitioning from 4G to 5G, and 5G networks will provide increased
14 cybersecurity protections. This project will identify several 5G use case scenarios and
15 demonstrate for each one how to strengthen the 5G architecture components to mitigate
16 identified risks and meet industry sectors' compliance requirements. The project will
17 demonstrate how commercial and open source products can leverage cybersecurity standards
18 and recommended practices for each of the 5G use case scenarios, as well as showcase how 5G
19 security features can be utilized. A phased approach will be employed to align with the
20 development pace of 5G technology and availability of commercial 5G technology.

21 This iterative approach will provide the flexibility to add to the project as the phases evolve to
22 take advantage of newly introduced security capabilities. This project will result in a freely
23 available NIST Cybersecurity Practice Guide.

24 **KEYWORDS**

25 *3GPP; 4G; 5G; 5G Non-Standalone; 5G Standalone; cloud; cybersecurity; Long-Term Evolution*
26 *(LTE)*

27 **DISCLAIMER**

28 Certain commercial entities, equipment, products, or materials may be identified in this
29 document to describe an experimental procedure or concept adequately. Such identification is
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32 the purpose.

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37 Comments on this publication may be submitted to 5G-security@nist.gov

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80 1 EXECUTIVE SUMMARY

81 Purpose

82 As 5G-based networks are deployed in our nation and across the world, there is great promise
83 of positive changes in the way humans and machines communicate, operate, and interact in the
84 physical and virtual world. With cellular networks transitioning from 4G to 5G, it is critical for
85 organizations to understand and address the challenges, opportunities, and risks associated with
86 the use of 5G networks.

87 The National Cybersecurity Center of Excellence (NCCoE) is initiating an effort in collaboration
88 with industry to secure cellular networks and, in particular, 5G deployments. The NCCoE is
89 positioned to promote the adoption of the increased cybersecurity protections 5G networks
90 provide, such as the addition of standards-based features and the increased use of modern
91 information technologies, including the cybersecurity best practices they provide. As 5G
92 technologies are continuously being specified in standardization bodies, implemented by
93 equipment vendors, and deployed by network operators, it is important to effectively scope and
94 prioritize this effort to align with the availability of the technology and maturity of applicable
95 standards.

96 This project will identify a number of 5G use case scenarios and demonstrate how the
97 components of the 5G architecture can provide security capabilities to mitigate identified risks
98 and meet industry sectors' compliance requirements. This project will result in a publicly
99 available NIST Cybersecurity Practice Guide as a Special Publication 1800 series, a detailed
100 implementation guide describing the practical steps needed to implement a cybersecurity
101 reference implementation. The proposed proof-of-concept solution will integrate commercial
102 and open source products that leverage cybersecurity standards and recommended practices to
103 demonstrate the use case scenarios and showcase 5G's robust security features. The publication
104 can assist organizations that are considering adopting and deploying 5G technology with the
105 design, acquisition process (including Request for Information [RFI] and Request for Proposal
106 [RFP] development and response), integration, and operation of 5G-based networks. The
107 findings from this work can be used by NIST and the industry collaborators to prioritize their
108 contributions in standards developing organizations.

109 Scope

110 The scope of this project is to leverage the 5G standardized security features which are defined
111 in 3GPP standards to provide enhanced cybersecurity capabilities built into the network
112 equipment and end-user devices. In addition, the project aims to identify security characteristics
113 of the underlying technologies and components of the supporting infrastructure required to
114 effectively operate a 5G network.

115 Security capabilities and administration of mobile devices are key components of adopting 5G.
116 This project focuses on the security implications of device connections to cellular networks. It
117 leverages other NIST and industry guidelines and projects, such as the NCCoE's Mobile Device
118 Security project, for guidance for securing and administering mobile devices.

119 Assumptions & Challenges

120 Foundational trust in the infrastructure is a key objective of the project. As a result, the network
121 core datacenter computing infrastructure will leverage a tamper-resistant hardware root of
122 trust, capable of attesting the integrity of the platform and logical boundary of the compute

123 nodes. These capabilities are exposed to the higher-level operating system and orchestration
124 layers to support the placement of sensitive workloads or other defined policies on trusted
125 hardware.

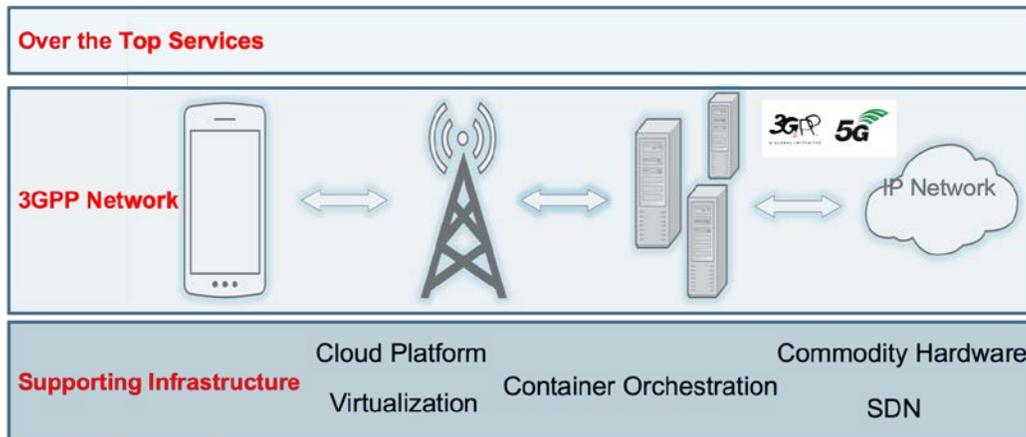
126 As 5G standards are continuously being developed to provide the features 5G technology
127 promises, some of the components needed to meet the requirements discussed in the section
128 below may not currently be commercially available. The project aims to use commercial off the
129 shelf technology or open source solutions capable of providing the functionality and the security
130 capabilities described in later sections of this project description. The project will adopt and
131 demonstrate the features as the vendors and community introduce and enable them in
132 commercial and open source products and technology.

133 As there are some strict operational requirements, such as licensing and broadcasting radio
134 frequency (RF) signals, that apply to deploying the radio access network on premise at the
135 NCCoE facility, NIST is considering connecting a subset of the components to collaborators'
136 remote laboratories in order to compose a complete demonstrable solution described in the
137 architecture to exercise the use case scenarios. In general, though, it is expected that the
138 majority of the components will be located in a lab at the NCCoE facility in Rockville, Maryland.
139 This will ease the integration of the components and allow an open and transparent
140 environment for the participants to collaborate on building and testing the environment.

141 **Background**

142 Within the general topic of 5G cybersecurity, the standards-based features specified by 3GPP
143 represent an important aspect of the system. The notional architecture depicted in Figure 1
144 provides context for how the NCCoE is approaching the topic of 5G cybersecurity. The approach
145 aims to permit understanding the system from a vertical viewpoint that is inclusive of all
146 supporting technologies, as well as provide a horizontal view of the specialized 5G workload that
147 will realize the services and capabilities 5G promises. One of the major enablers of this
148 differentiated technology stack is that the 5G system introduces the concept of a service-based
149 architecture (SBA) for the first time in cellular networks [1]. It is envisioned that 5G network
150 components will be deployed on a hyper-scalable containerized and virtualized infrastructure,
151 similar to modern internet applications. This introduction of SBA and the adoption of cloud and
152 internet technologies are expected to lead to the increased reliance on commodity
153 infrastructure and common internet security protocols. The supporting infrastructure includes
154 components like commodity server hardware, virtualization platforms, cloud operating systems,
155 and container orchestration tools.

156 Figure 1: Notional 5G Network Architecture



157 In previous evolutions of mobile broadband technology, speed and throughput have been the
 158 key drivers, but 5G will become a ubiquitous technology, providing new capabilities tailored to
 159 specific use case scenarios stemming from industry verticals such as autonomous vehicles, smart
 160 manufacturing, and smart cities. 5G standards have been designed to support use case-specific
 161 capabilities by way of network deployment options. While 5G networks will use standards-based
 162 interfaces and protocols, the optionality built into the 5G system will mean each network's
 163 design and architecture may depend on the capabilities and services it is providing. The NCCoE
 164 project scopes a number of the use case scenarios that focus on the cybersecurity components,
 165 challenges, and opportunities.

166 The project defines a high-level roadmap that includes topics that resonate with NIST and its
 167 industry collaborators. The topics are prioritized based on industry's needs and the availability
 168 of supporting 5G technology. The cybersecurity capabilities and characteristics help scope the
 169 development, implementation, configuration, and demonstration of the project. A core
 170 objective of the effort is to showcase the practical 5G cybersecurity capabilities provided by the
 171 5G system and complementing technology.

172 2 PHASES & SCENARIOS

173 This NCCoE project will use a phased approach to align with the development pace of 5G
 174 standards, the availability of commercial 5G technology, and commercial 5G deployments. This
 175 iterative approach reflects the nascent state of 5G standards and the limited availability of
 176 appropriate technology. It will provide the flexibility to add more use cases and capabilities as
 177 the phases evolve, taking advantage of newly introduced security capabilities and reflecting the
 178 priorities of project collaborators. Each phase can be divided into multiple workstreams, where
 179 each workstream demonstrates a specific set of security capabilities. Each new phase is built
 180 upon the outcome of the previous phase. For example, phase 1 starts out by establishing a
 181 foundational infrastructure that aligns with current available cybersecurity capabilities, including
 182 specific security configurations of the non-standalone core to support various industry standards
 183 and regulations. Subsequent phases extend the initial work to cover additional 5G use case
 184 scenarios that are still evolving.

185 The demonstration platform is intended to be hosted, in whole or in part, at the NCCoE and may
 186 connect across the internet to industry collaborators' facilities based on operational need,

187 functional requirements, and security capabilities required to support desired use case scenarios
188 and demonstrate achievement of the desired security capabilities.

189 **Phase 1 - Preparing a Secure 5G Infrastructure & Architecture**

190 This initial phase focuses on two critical components. Component 1 is deploying the underlying
191 infrastructure consisting of the hardware and software needed to achieve the scenarios
192 described below. The implementation of phase 1 component 1 will highlight the security
193 characteristics and capabilities of the supporting infrastructure and is envisioned to be deployed
194 in combination with the mobile network services described in phase 1 component 2.

195 Component 2 involves the implementation and configuration of security capabilities offered
196 with 5G Non-Standalone deployments. These two components may be divided into multiple
197 workstreams which can be executed in parallel, depending on dependencies identified during
198 the design process of the project.

199 **Component 1 - Infrastructure Security**

200 This component focuses on the computing resources required to operate a modern mobile
201 network, specifically focusing on the infrastructure's cybersecurity protections. LTE Evolved
202 Packet Core (EPC) components are being increasingly packaged and deployed as Virtualized
203 Network Functions (VNFs) that are dependent on commodity compute platforms. The
204 Infrastructure security component of this phase will be focused on the security capabilities that
205 can be achieved when deploying EPC VNFs on a cloud-like supporting infrastructure. The
206 supporting infrastructure will utilize hardware roots of trust for platform measurement and
207 attestation to ensure that certain workloads run on hardware in a good known state and within
208 a well-defined logical boundary. For example, these cryptographic protections could support
209 VNF isolation, ensuring security-critical functions are running on hardware independent from
210 less critical functions [5].

211 **Component 2 - 5G Non-Standalone (NSA) Security**

212 This component of the project will focus on taking advantage of the robust cybersecurity
213 protections and features provided by the 3GPP specifications and commercial solutions. While
214 3GPP has designed many new cybersecurity features built upon 4G LTE, they are only available
215 with a 5G Core. The 5G specifications define multiple deployment models to support different
216 configurations and architectures. One of these configurations is referred to as 5G Non-
217 Standalone (NSA) options, which utilizes the 5G New Radio (NR) in conjunction with an LTE EPC
218 to take advantage of the technological advancements of 5G NR without the need to deploy an
219 entirely new core network [1].

220 The objective is to enable and configure the LTE EPC's security features in a manner that
221 demonstrates the robust cybersecurity provided in a 5G NSA deployment. The implementation
222 will incorporate solutions that address the threat of false base stations in mobile network
223 deployments, protecting the core from potential internet-based threats, and will investigate
224 existing protections that mitigate the risks posed by legacy radio access technologies (RATs),
225 e.g., 2G.

226 **Scenarios:**

227 **Scenario 1: Basic functionality of voice, text, and data on a 5G NSA deployment**

228 This will be an initial demonstration of the infrastructure's functionality involved in setting up a
229 call, sending SMS, and connecting to data services. The scenario will utilize the functionality of
230 the initial 3GPP system's configuration and protections provided by native IP-based security

231 protocols (e.g., Network Domain Security/Internet Protocol [NDS/IP] [3]) to form a baseline for
232 future scenarios. This scenario can be demonstrated without a fully complete infrastructure
233 security component.

234 **Scenario 2: Basic functionality of voice, text, and data on a 5G NSA deployment that includes the**
235 **infrastructure security component**

236 This scenario will demonstrate the robust security protections provided by the infrastructure
237 with the 5G NSA functionality demonstrated in scenario 1 operating unencumbered. The
238 underlying infrastructure will be measured, attested, and policy tagged so that 5G NSA VNFs will
239 only run on hardware that is trusted and meets specific security policies. In addition, SDN
240 policies will be implemented to isolate the network data flows between specific VNFs.

241 **Scenario 3: Cybersecurity features provided by the 3GPP system and configuration of those**
242 **cybersecurity features**

243 This scenario will demonstrate the standards-based security features available with a Release 15
244 EPC. Capabilities like mutual authentication, hardware-backed credential storage, and algorithm
245 configurations relevant to the US market will be highlighted.

246 **Scenario 4: False base station detection and protection**

247 Due to the nature of RF-based communications, cellular networks are exposed to certain risks
248 caused by impersonation of networks. This scenario will demonstrate the use of commercial
249 solutions provided by vendor partners to detect and protect against risks posed by false base
250 stations.

251 **Scenario 5: Protection from risks posed by legacy radio access technologies**

252 Legacy cellular networks using legacy radio access technologies are starting to be phased out
253 and turned off in favor of newer, more robust technologies. However, devices that utilize
254 cellular connectivity are designed to connect to any network available. The legacy networks do
255 not have the same security protections and capabilities afforded by technologies like LTE and
256 5G, and inadvertently using them may pose unwanted risks to organizations. This scenario
257 highlights the potential use of standards-based features or commercial solutions to disallow
258 connections to legacy networks.

259 **Phase 2: Secure 5G Infrastructure & Architecture**

260 The second phase of this project will focus on the evolution of LTE EPC technology from the
261 Phase 1 5G NSA deployment to a 5G Standalone (SA) deployment. This will allow
262 implementation and demonstration of the new 5G security features made available with a 5G
263 Core.

264 An objective of phase 2 is to enable and configure the 5G Core's security features in a manner
265 that demonstrates the robust cybersecurity provided in a 5G SA deployment. The
266 implementation will look to incorporate solutions that address known security challenges found
267 in previous generations of cellular networks. Many of these solutions have been incorporated
268 into the 3GPP specifications as interoperable standards-based features [2] while some may be
269 customized solutions developed by vendors.

270 **Component 1 - Enhanced Infrastructure Security Capabilities**

271 The 5G Core introduces the SBA in cellular networks. This modern design is a fundamental shift
272 in how new services are created and how the individual Network Functions (NFs) cooperate. Not
273 only is the core network decomposed into smaller functional elements, but the communication
274 between these elements is also expected to be more flexible, routed via a common service bus,

275 and almost completely deployed using virtualization and containerization technologies. 5G Core
276 components may be packaged and deployed as VNFs or Containerized Network Functions (CNFs)
277 dependent on commodity compute platforms. In addition to the new technologies, there will be
278 an increased use of common security protocols (e.g., Transport Layer Security [TLS], Internet
279 Protocol Security [IPsec], JavaScript Object Signing and Encryption [JOSE]) that include their own
280 sets of recommended practices. The configuration and management of these protocols are
281 important aspects of network security that need to be demonstrated. This will build from phase
282 1 component 1, to include new infrastructure capabilities and security features. For example,
283 this may include extending the hardware roots of trust into platforms that run CNFs to ensure
284 that certain CNFs run on hardware in a good known state and within a well-defined logical
285 boundary.

286 **Component 2 - 5G Standalone Security**

287 The 5G SA deployment model requires the 5G Core Network. 3GPP has designed and specified
288 the 5G Core Network to include many new cybersecurity features and capabilities that improve
289 upon 4G LTE. These new features are intended to strengthen the security posture of the
290 network while addressing known risks associated with previous generations of mobile networks.
291 This component of phase 2 is focused on enabling and demonstrating the new cybersecurity
292 protections afforded by a 5G SA deployment. The component will enable and configure the
293 cybersecurity features with industry recommended practices and standards.

294 **Scenarios:**

295 **Scenario 1: Basic functionality voice, text, data on 5G SA deployment**

296 This will be an initial demonstration of the infrastructure's functionality: setting up a call,
297 sending SMS, and connecting to data services. The scenario will utilize the functionality of the
298 initial 3GPP 5G Core configuration and form a baseline for future scenarios. This scenario will
299 leverage the trusted infrastructure deployed in phase 1.

300 **Scenario 2: Demonstration of the subscriber privacy features provided with the 5G Core**

301 This scenario will enumerate the information sent in cleartext in an NSA deployment and
302 compare it with cleartext transmissions from an SA deployment, demonstrating that the
303 subscriber identity is no longer available to false base stations.

304 **Scenario 3: Standalone standards-based 5G security features**

305 This scenario will incorporate protections gained from all the standards-based security features
306 provided by SA deployments. This will highlight capabilities like subscriber privacy, user plane
307 integrity protection, CU/DU split, enhanced authentication, and protections provided by native
308 IP-based security protocols (e.g., NDS/IP). These features are defined in more detail in Section 3
309 under Desired Security Characteristics and Properties.

310 **Scenario 4: Core internet protocols**

311 This scenario will explore industry-recommended practices for properly implementing the core
312 internet security protocols needed to protect communications between all VNFs deployed inside
313 a core network. This may include topics like configuration and management of TLS cipher suites,
314 IPsec, and Domain Name System Security Extensions (DNSSEC).

315 **Future Phases**

316 A critical driver for the development of 5G has been the expected increase in cellular-connected
317 Internet of Things (IoT) devices. As the standards solidify and technology becomes commercially
318 available, this project aims to incorporate an IoT-specific phase and use case scenarios.

319 Another new feature of 5G is more advanced network slicing capabilities beyond LTE's basic
320 support for aspects of slicing around dedicated Core Networks. Compared to its predecessor, 5G
321 network slicing is envisioned to be a more powerful concept and includes the ability to create a
322 slice that is an entire Public Land Mobile Network (PLMN). Within the scope of the 3GPP 5G
323 system architecture, a network slice refers to the set of 3GPP-defined features and
324 functionalities that together can form a separate PLMN or isolated network for providing
325 services to subscribers. Network slicing allows for orchestrated deployment and configuration of
326 network functions to provide services that are required for a specific usage scenario. A future
327 phase of this 5G security project will aim to explore the use of network slicing to provide a
328 higher level of assurance to customers who have unique security requirements. This work could
329 focus on enabling standards-based security features as well as operational/deployment best
330 practices within a specific slice.

331 The benefits of an ultra-reliable and ultra-low latency 5G network will contribute to the
332 enablement of autonomous vehicle communications. Autonomous vehicles will be able to
333 establish massive numbers of connections and communicate over them with very low latency,
334 allowing for real-time data exchange. This will be necessary for autonomous vehicles operating
335 safely in the real world. A future phase of this 5G security project aims to explore implementing
336 3GPP Vehicle-to-Everything (V2X) standards. This work could focus on implementing the
337 standards-based security features while demonstrating the usability of the V2X
338 communications.

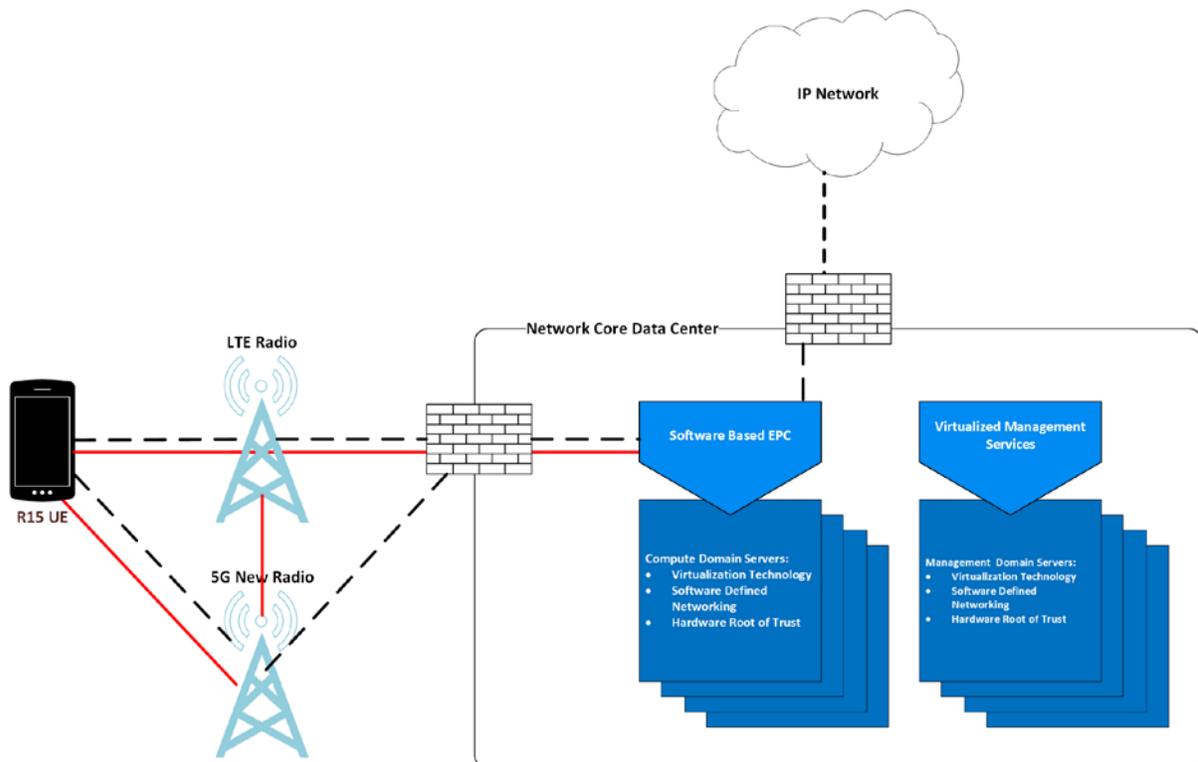
339 Edge computing will play a critical role in 5G service offerings. To reduce the latency that comes
340 with centralized cloud computing, network appliances, services, and applications are being
341 deployed closer to the end user devices or network edge, providing capabilities commonly
342 referred to as "edge computing." Edge computing decentralizes cloud infrastructure
343 components, so the compute functions are pushed further to the network edge, closer to the
344 data, in geographically separate areas. A future phase of the NCCoE 5G security project will
345 enable trust and security for running network and industry sector-specific services on the edge.

346 NCCoE will develop future phases and use case scenarios with the community of interest in the
347 future.

348 **3 HIGH-LEVEL ARCHITECTURE**

349 This section provides a high-level illustration of the Phase 1 architecture and list of the
350 components that are part of the architecture considered

351 Figure 2 provides a logical depiction of the proposed Phase 1 implementation. This diagram is
352 representative of a 5G NSA deployment, showing the user equipment's (UE's) dual connectivity
353 to both an LTE Radio and a 5G New Radio. The data flow is represented using black dotted lines
354 and red solid lines, with black representing control and red user plane communication flow
355 through the 3GPP system. In 5G NSA deployments, all control plane traffic is routed via the LTE
356 radio to the EPC, with the 5G New Radio providing extra capacity and throughput for user plane
357 traffic. Figure 2 includes the concept of a network core data center, hosting the infrastructure
358 and services required for the 3GPP system services to operate. In this implementation the data
359 center includes the components required to achieve security characteristics associated with a
360 trusted cloud deployment. These components consist of two trust domains: one for the
361 operation and management of the secure infrastructure fabric, and one to provide the compute
362 resources required by the 3GPP network functions.

363 **Figure 2: Phase 1 Architecture**364 **Component List**365 **Phase 1: Preparing a Secure 5G Infrastructure & Architecture**

- 366 - Commodity hardware with trust measurement capability
- 367 - Local and network storage
- 368 - Switches, routers
- 369 - Security gateways (SEGs), firewalls (e.g., roaming General Packet Radio Service [GPRS]
- 370 Tunneling Protocol [GTP] control [GTP-C]/GTP user data tunneling [GTP-U] FW, SGI/N6
- 371 interface FW)
- 372 - Virtualization software
- 373 - Security and policy enforcement software, governance, risk, & compliance (GRC) /
- 374 security information and event management (SIEM) / dashboard
- 375 - Virtualized LTE EPC components
- 376 - Home Subscriber Server (HSS)
- 377 - LTE eNodeB
- 378 - 5G NR gNodeB
- 379 - 5G NR UE / consumer IoT (CIoT) device
- 380 - Universal Integrated Circuit Card (UICC) components
- 381 - False base station detection capability
- 382 - Simulation equipment
- 383 - Network and telecommunication test tools

384 **Phase 2: Secure 5G Infrastructure & Architecture**

- 385 - Phase 1 components
- 386 - Container orchestration software
- 387 - Certificate management software
- 388 - Standalone 5G Core components
- 389 - gNodeB – centralized unit & distributed units
- 390 - Standalone-capable 5G UE
- 391 - Standalone-capable 5G IoT device

392 **Future Phases**

- 393 - The components will be identified once the use case scenarios are developed in the near
394 future.

395 **Desired Security Characteristics and Properties**

396 To address the scenarios discussed in Section 2, this project will utilize commercially available
397 hardware and software technologies, which will include traditional IT components to support
398 the underlying infrastructure as well as telecommunications components to support the 5G NSA
399 and 5G SA functionality. The commercially available hardware and software will provide the
400 following security capabilities.

401 **Infrastructure Security Capabilities**

402 This project will leverage the security features and capabilities described in the NCCoE Trusted
403 Cloud project [6].

404 Trusted Hardware – The computing hardware will provide the capability to measure
405 platform components and store the measurements in a hardware root of trust for later
406 attestation. Custom values can be provisioned to the computing hardware root of trust,
407 known as asset tags, which can also be used for future attestation. The platform
408 measurements and asset tags can be used to define placement and migration policies
409 for virtual workloads that run on top of the computing platform.

410 Isolation and Policy Enforcement – Once trust is established in the infrastructure,
411 workloads can be restricted to run only on trusted hardware that meets specific asset
412 policies. The platform trust measurement and asset tagging can also be used as part of
413 the data protection policy of the workloads. Workloads can be encrypted at the virtual
414 hard drive level, and only compute nodes that meet the defined trust and asset tag
415 policies will have access to the decryption keys to run the workloads. Additionally,
416 workloads can be logically isolated by utilizing SDN technologies. The SDN capability will
417 allow network traffic policies to be defined for the workloads and ensure that
418 authorized network communications between the different components are
419 implemented and enforced.

420 Visibility and Compliance – Technical mechanisms will be continuously enforced and
421 assessed to secure the environment over the lifecycle of the platform and workloads.
422 These mechanisms enable the organization to manage risks and meet the compliance
423 requirements by documenting and monitoring configuration changes. A governance risk
424 compliance (GRC) tool can be leveraged to provide a detailed report or high-level

425 dashboard view of the configuration of the environment, trust status of the
426 infrastructure, network flows, or compliance posture of the system.

427 **5G Non-Standalone Security Capabilities**

428 EPC-Based Security Feature Enablement – The EPC in the NSA deployment will be
429 configured in accordance with recommended practices, including enabling standards-
430 based security features and configuring parameters in accordance with relevant
431 guidelines.

432 False Base Station Protections – False base stations are unlicensed base stations that are
433 not owned and operated by an authentic network operator. They broadcast cellular
434 network information, masquerading as a legitimate network [4]. This threat exists due
435 to the inherent properties of any RF system and are not specific to cellular networks.
436 Phase 1 of this project is interested in utilizing commercial solutions to mitigate this
437 threat and provide protections from false base stations that are not provided by the
438 3GPP standards.

439 Prevent Downgrade to Legacy Technology by Disabling UE’s 2G Radio – As 5G
440 technology is being deployed, it will coexist with previous cellular infrastructure already
441 in place. As a result, there is a high probability that 5G networks will be deployed
442 alongside LTE, 3G, and 2G networks. This multigenerational deployment of cellular
443 networks provides interoperability for the customers, but it may impact the overall
444 security posture of the network in these previous network generations.

445 **Enhanced Infrastructure Security Capabilities**

446 VM and Container Orchestration – The infrastructure components will rely on the
447 foundational security characteristics of hardware roots of trust and asset tagging for
448 placement of 5G Core workloads. The features and capabilities from the Infrastructure
449 Security Capabilities will be augmented with any new features and functionality that
450 come with Phase 2 of the project.

451 TLS Recommended Practice – TLS guidance will be utilized during this phase, specifically
452 for handling secured communications within the infrastructure and between VNFs.
453 Recommended practices regarding TLS version, cipher suites, certificate key size, and
454 certificate management will be incorporated and documented.

455 **5G Standalone Deployment Security Capabilities**

456 Subscriber Privacy – The inclusion of subscriber identifier privacy-preserving features,
457 like the ability to encipher the 5G subscriber identifier and restrict it from being sent
458 over the air in the clear, mitigates threats present in previous generations of cellular
459 networks. Phase 2 of this project may enable this standards-based feature available in
460 commercial solutions and demonstrate the protections against threats like IMSI catching
461 [4].

462 User Plane Integrity Protection Implementation – Control plane integrity protection has
463 been available since UMTS, and with 5G’s new key hierarchy, it is possible to apply
464 integrity protection to user plane traffic. Phase 2 of this project will enable user plane
465 integrity protection and configure it to use recommended cryptographic algorithms.

466 Security Protections Provided by the CU/DU Split – The split of the 5G base station,
467 known as the CU/DU split, into a Distributed Unit (DU) and Centralized Unit (CU) enables

468 security sensitive functions to be operated closer to the core network in a potentially
 469 more trusted environment. Phase 2 of this project will investigate how to most
 470 effectively take advantage of and implement this deployment option from a
 471 cybersecurity perspective.

472 Authentication Enhancements – A unified authentication framework will allow
 473 credential storage in embedded UICCs, allow network access via 3GPP and non-3GPP
 474 access technologies, and allow Native Extensible Authentication Protocol (EAP) support
 475 over 3GPP access networks. These enhancements enable operators to plug in different
 476 credentials and authentication methods without impacting intermediate network
 477 functions. Phase 2 may enable one or more features provided by this enhanced
 478 authentication framework.

479 Roaming Security – Security is required on inter-operator network connections
 480 (roaming) via a network function called the Security Edge Protection Proxy (SEPP). The
 481 SEPP implements application layer security for all the service layer information
 482 exchanged between the two networks. The SEPP also provides security functions for
 483 integrity, confidentiality, replay protection, mutual authentication, authorization,
 484 negotiation of cipher suites, and key management, as well as the notion of topology
 485 hiding and spoofing protection.

486 LTE to 5G interworking defined in 3GPP 23.501 [1] will be widely used as 5G SA
 487 deployments become more common. This interworking will require the use of secure
 488 procedures and security demarcations. Security will be especially critical when 5G to LTE
 489 interworking is occurring between two security domains or operators.

490 Phase 2 of the project will focus on these standards-based security features as well as
 491 commercial customized solutions in the reference implementation.

492 Network Exposure Function – This new element allows for secure exposure of network
 493 services such as voice, data connectivity, charging, and subscriber information to third-
 494 party applications over APIs. The element utilizes the topology hiding features provided
 495 with 5G's new SBA, allowing for a secure mechanism that internal and external third
 496 parties interact with to consume network services. The security protections offered by
 497 the network exposure function will be demonstrated with the implementation of 5G
 498 Core in phase 2 of the project.

499 The following table summarizes the required and optional capabilities for each phase. A
 500 complete and robust implementation will include capabilities defined in all the phases.

| | Phase 1: Preparing a Secure 5G Infrastructure & Architecture | Phase 2: Secure 5G Infrastructure & Architecture | Future Phases |
|----------------------------------|---|---|----------------------|
| Trusted hardware | X | X | X |
| Isolation and policy enforcement | X | X | X |
| Visibility and compliance | X | X | X |
| VM and container orchestration | | X | X |
| TLS recommended practice | | X | X |

| | Phase 1: Preparing a Secure 5G Infrastructure & Architecture | Phase 2: Secure 5G Infrastructure & Architecture | Future Phases |
|--|--|--|---------------|
| EPC-based security feature enablement | X | X | X |
| False base station protections | X | X | X |
| Downgrade to legacy technology protections | X | X | X |
| Subscriber privacy | | X | X |
| User plane integrity protection | | X | X |
| CU/DU split | | X | X |
| Authentication enhancements | | X | X |
| Roaming security | | X | X |
| Network exposure function | | X | X |

501 4 RELEVANT STANDARDS AND GUIDANCE

502 Here is a list of relevant standards and guidance documents.

- 503 • 3GPP TR 21.905: “Vocabulary for 3GPP Specifications”.
- 504 • 3GPP TS 33.401: “3GPP System Architecture Evolution (SAE); Security architecture”.
- 505 • 3GPP TS 23.501: “System Architecture for the 5G System”.
- 506 • 3GPP TS 33.501: “Security architecture and procedures for 5G system (Release 15)”.
- 507 • 3GPP TS 33.210: “3G security; Network Domain Security (NDS); IP network layer
- 508 security”.
- 509 • ETSI GS NFV 002: “Network Functions Virtualisation (NFV); Architectural Framework”.
- 510 • ETSI GS NFV-SEC 009: “Network Functions Virtualisation (NFV); NFV Security; Report on
- 511 use cases and technical approaches for multi-layer host administration”.
- 512 • ETSI GR NFV-SEC 016: “Network Functions Virtualisation (NFV); Security; Report on
- 513 location, timestamping of VNFs”.
- 514 • NIST SP 800-53 Rev 4: “Security and Privacy Controls for Federal Information Systems
- 515 and Organizations”
- 516 • NIST SP 800-187: “Guide to LTE Security”
- 517 • NIST SP 1800-19: “Trusted Cloud: VMware Hybrid Cloud IaaS Environments”
- 518 • NIST SP 1800-16: “Securing Web Transactions: Transport Layer Security (TLS) Server
- 519 Certificate Management”
- 520 • NIST SP 800-77 Rev 1: “Guide to IPsec VPNs”
- 521 • NIST SP 800-52 Rev 2: “Guidelines for the Selection, Configuration, and Use of Transport
- 522 Layer Security (TLS) Implementations”

- 523 • NIST SP 800-124: “Guidelines for Managing the Security of Mobile Devices in the
- 524 Enterprise”
- 525 • Securing Web Transactions: TLS Server Certificate Management -
- 526 <https://www.nccoe.nist.gov/projects/building-blocks/tls-server-certificate-management>
- 527 • NCCoE Mobile Device Security - [https://www.nccoe.nist.gov/projects/building-](https://www.nccoe.nist.gov/projects/building-blocks/mobile-device-security)
- 528 [blocks/mobile-device-security](https://www.nccoe.nist.gov/projects/building-blocks/mobile-device-security)
- 529 • [CSRIC VII, WG 2, Managing Security Risk in the Transition to 5G -](https://www.fcc.gov/about-fcc/advisory-committees/communications-security-reliability-and-interoperability-council-vii)
- 530 [https://www.fcc.gov/about-fcc/advisory-committees/communications-security-](https://www.fcc.gov/about-fcc/advisory-committees/communications-security-reliability-and-interoperability-council-vii)
- 531 [reliability-and-interoperability-council-vii](https://www.fcc.gov/about-fcc/advisory-committees/communications-security-reliability-and-interoperability-council-vii)
- 532 • [CSRIC VII, WG 2, Managing Security Risk in Emerging 5G Implementations](#)
- 533 • [CSRIC VI, WG 3, Network Reliability and Security Risk Reduction](#)
- 534 • CSRIC V, WG 10, Legacy Systems and Services Risk Reduction
- 535 • ATIS Technical Report, “5G Security Requirements (ATIS 1000077)”

536 5 SECURITY CONTROL MAP

537 This table maps the characteristics of the commercial products that the NCCoE will apply to this
 538 cybersecurity challenge to the applicable standards and best practices described in the
 539 Framework for Improving Critical Infrastructure Cybersecurity, and to other NIST activities. This
 540 exercise is meant to demonstrate the real-world applicability of standards and best practices but
 541 does not imply that products with these characteristics will meet an industry’s requirements for
 542 regulatory approval or accreditation.

543 **Table 5-1 List of NIST SP 800-53 Revision 4 Controls Addressed by Solution**

| ID | Control Description |
|--------------------------------------|---------------------------------------|
| Access Control (AC) | |
| AC-3 | Access Enforcement |
| AC-4 | Information Flow Enforcement |
| AC-17 | Remote Access |
| AC-20 | Use of External Information Systems |
| Audit and Accountability (AU) | |
| AU-2 | Audit Events |
| AU-3 | Content of Audit Records |
| AU-4 | Audit Storage Capacity |
| AU-5 | Response to Audit Processing Failures |
| AU-6 | Audit Review, Analysis, and Reporting |
| AU-7 | Audit Reduction and Report Generation |
| AU-8 | Time Stamps |
| AU-9 | Protection of Audit Information |
| AU-10 | Non-Repudiation |

| ID | Control Description |
|---|--|
| AU-11 | Audit Record Retention |
| AU-12 | Audit Generation |
| Security Assessment and Authorization (CA) | |
| CA-7 | Continuous Monitoring |
| Configuration Management (CM) | |
| CM-3 | Configuration Change Control |
| CM-4 | Security Impact Analysis |
| CM-8 | Information System Component Inventory |
| CM-9 | Configuration Management Plan |
| CM-10 | Software Usage Restrictions |
| Identification and Authentication (IA) | |
| IA-2 | Identification and Authentication (Organizational Users) |
| IA-3 | Device Identification and Authentication |
| IA-4 | Identifier Management |
| IA-5 | Authenticator Management |
| IA-7 | Cryptographic Module Authentication |
| Maintenance (MA) | |
| MA-2 | Controlled Maintenance |
| MA-3 | Maintenance Tools |
| MA-4 | Nonlocal Maintenance |
| MA-5 | Maintenance Personnel |
| MA-6 | Timely Maintenance |
| Risk Assessment (RA) | |
| RA-3 | Risk Assessment |
| RA-5 | Vulnerability Scanning |
| System and Services Acquisition (SA) | |
| SA-18 | Tamper Resistance and Detection |
| System and Communications Protection (SC) | |
| SC-2 | Application Partitioning |
| SC-3 | Security Function Isolation |
| SC-7 | Boundary Protection |
| SC-8 | Transmission Confidentiality and Integrity |
| SC-12 | Cryptographic Key Establishment and Management |
| SC-13 | Cryptographic Protection |
| SC-15 | Collaborative Computing Devices |

| ID | Control Description |
|--|---|
| SC-16 | Transmission of Security Attributes |
| SC-28 | Protection of Information at Rest |
| System and Information Integrity (SI) | |
| SI-2 | Flaw Remediation |
| SI-4 | Information System Monitoring |
| SI-7 | Software, Firmware, and Information Integrity |

544 Table 5-2 List of NIST Cybersecurity Framework Subcategories Addressed by Solution

| Cyber-security Framework Subcategory Identifier | Cybersecurity Framework Subcategory Name |
|---|--|
| Identify (ID) | |
| ID.AM-2 | Software platforms and applications within the organization are inventoried. |
| Protect (PR) | |
| PR.AC-1 | Identities and credentials are issued, managed, verified, revoked, and audited for authorized devices, users and processes. |
| PR.AC-3 | Remote access is managed. |
| PR.AC-5 | Network integrity is protected (e.g., network segregation, network segmentation). |
| PR.AC-6 | Identities are proofed and bound to credentials and asserted in interactions. |
| PR.AC-7 | Users, devices, and other assets are authenticated (e.g., single-factor, multifactor) commensurate with the risk of the privacy risks and other organizational risks). |
| PR.DS-1 | Data-at-rest is protected. |
| PR.DS-2 | Data-in-transit is protected. |
| PR.DS-3 | Assets are formally managed throughout removal, transfers, and disposition. |
| PR.DS-6 | Integrity checking mechanisms are used to verify software, firmware, and information integrity. |
| PR.IP-3 | Configuration change control processes are in place. |
| PR.IP-4 | Backups of information are conducted, maintained, and tested. |
| PR.IP-9 | Response plans (Incident Response and Business Continuity) and recovery plans (Incident Recovery and Disaster Recovery) are in place and managed. |
| PR.IP-12 | A vulnerability management plan is developed and implemented. |
| PR.MA-1 | Maintenance and repair of organizational assets are performed and logged, with approved and controlled tools. |

| Cyber-security Framework Subcategory Identifier | Cybersecurity Framework Subcategory Name |
|---|--|
| PR.PT-1 | Audit/log records are determined, documented, implemented, and reviewed in accordance with policy. |
| PR.PT-4 | Communications and control networks are protected. |
| Detect (DE) | |
| DE.AE-1 | A baseline of network operations and expected data flows for users and systems is established and managed. |
| DE.AE-2 | Detected events are analyzed to understand attack targets and methods. |
| DE.AE-3 | Event data are collected and correlated from multiple sources and sensors. |
| DE.AE-4 | Impact of events is determined. |
| DE.AE-5 | Incident alert thresholds are established. |
| DE.CM-1 | The network is monitored to detect potential cybersecurity events. |
| DE.CM-7 | Monitoring for unauthorized personnel, connections, devices, and software is performed. |

545 **APPENDIX A: REFERENCES**

- 546 [1] 3rd Generation Partnership Project (3GPP), 3GPP TS 23.501 System architecture for the
547 5G System (5GS); Stage 2 (Release 16), December 2019
548 http://www.3gpp.org/ftp//Specs/archive/23_series/23.501/23501-g30.zip
- 549 [2] 3rd Generation Partnership Project (3GPP), 3GPP TS 33.501 Security architecture and
550 procedures for 5G system (Release 16), December 2019
551 http://www.3gpp.org/ftp//Specs/archive/33_series/33.501/33501-g10.zip
- 552 [3] 3rd Generation Partnership Project (3GPP), 3GPP TS 33.210 Network Domain Security
553 (NDS); IP network layer security (Release 16), June 2019
554 http://www.3gpp.org/ftp//Specs/archive/33_series/33.210/33210-g20.zip
- 555 [4] National Institute of Standards and Technology (NIST), NIST Special Publication (SP) 800-
556 187, Guide to LTE Security, December 2017 <https://doi.org/10.6028/NIST.SP.800-187>
- 557 [5] National Institute of Standards and Technology (NIST), NIST Special Publication (SP)
558 1800-19, Trusted Cloud: VMware Hybrid Cloud IaaS Environments, November 2018
559 [https://www.nccoe.nist.gov/sites/default/files/library/sp1800/tc-hybrid-nist-sp1800-
560 19b-preliminary-draft.pdf](https://www.nccoe.nist.gov/sites/default/files/library/sp1800/tc-hybrid-nist-sp1800-19b-preliminary-draft.pdf)
- 561 [6] National Cybersecurity Center of Excellence (NCCoE), Trusted Cloud Projects
562 <https://www.nccoe.nist.gov/projects/building-blocks/trusted-cloud>

563 **APPENDIX B: ACRONYMS**

564 Selected acronyms and abbreviations used in this paper are defined below.

| | | |
|-----|---------------|--|
| 565 | 2G | 2nd Generation |
| 566 | 3G | 3rd Generation |
| 567 | 3GPP | 3rd Generation Partnership Program |
| 568 | 4G | 4th Generation |
| 569 | 5G | 5th Generation |
| 570 | API | Application Programming Interface |
| 571 | CIoT | Cellular Internet of Things |
| 572 | CNF | Containerized Network Function |
| 573 | CSRIC | Communications Security, Reliability and Interoperability Council |
| 574 | CU | Centralized Unit |
| 575 | DNSSEC | Domain Name System Security Extensions |
| 576 | DU | Distributed Unit |
| 577 | EAP | Extensible Authentication Protocol |
| 578 | eNodeB | Evolved Node B |
| 579 | EPC | Evolved Packet Core |
| 580 | FCC | Federal Communications Commission |
| 581 | gNodeB | Next Generation Node B |
| 582 | GPRS | General Packet Radio Service |
| 583 | GRC | Governance Risk & Compliance |
| 584 | GTP | GPRS Tunneling Protocol |
| 585 | GTP-C | GPRS Tunneling Protocol control |
| 586 | GTP-U | GPRS Tunneling Protocol user data tunneling |
| 587 | HSS | Home Subscriber Server |
| 588 | IaaS | Infrastructure as a Service |
| 589 | IMSI | International Mobile Subscriber Identity |
| 590 | IoT | Internet of Things |
| 591 | IP | Internet Protocol |
| 592 | IPsec | Internet Protocol Security |
| 593 | JOSE | JavaScript Object Signing and Encryption |

| | | |
|-----|---------------|---|
| 594 | LTE | Long-Term Evolution |
| 595 | NCCoE | National Cybersecurity Center of Excellence |
| 596 | NDS/IP | Network Domain Security/Internet Protocol |
| 597 | NF | Network Function |
| 598 | NFV | Network Functions Virtualisation |
| 599 | NIST | National Institute of Standards and Technology |
| 600 | NR | New Radio |
| 601 | NSA | 5G Non Standalone |
| 602 | PLMN | Public Land Mobile Network |
| 603 | RAN | Radio Access Network |
| 604 | RAT | Radio Access Technology |
| 605 | RF | Radio Frequency |
| 606 | RFI | Request for Information |
| 607 | RFP | Request for Proposal |
| 608 | SA | 5G Standalone |
| 609 | SAE | System Architecture Evolution |
| 610 | SBA | Service-Based Architecture |
| 611 | SDN | Software Defined Networking |
| 612 | SEG | Security Gateway |
| 613 | SEPP | Security Edge Protection Proxy |
| 614 | SIEM | Security Information and Event Management |
| 615 | SMS | Short Message Service |
| 616 | TCP | Transmission Control Protocol |
| 617 | TLS | Transport Layer Security |
| 618 | TR | Technical Report |
| 619 | TS | Technical Specification |
| 620 | UE | User Equipment |
| 621 | UICC | Universal Integrated Circuit Card |
| 622 | UMTS | Universal Mobile Telecommunications System |
| 623 | USIM | Universal Subscriber Identity Module |
| 624 | V2X | Vehicle-to-Everything (V2X) |
| 625 | VNF | Virtualized Network Function |